

# Metric Spaces and Topology

## Lecture 19

Metric spaces as topological spaces. For a metric space  $(X, d)$ , its collection  $\mathcal{T}_d$  of open sets form a topology as we have shown.

We have also shown:

(i) open balls form a basis, in fact, open balls of radii  $\frac{1}{n}$ ,  $n \in \mathbb{N}^+$ , also form a basis.

(ii) for each  $x \in X$ , the balls  $B_{\frac{1}{n}}(x)$ ,  $n \in \mathbb{N}^+$ , form a neighbourhood basis at  $x$  (indeed, if  $U \ni x$  is open then  $B_{\frac{1}{n}}(x) \subseteq U$  for some  $n \in \mathbb{N}^+$ ).

A topology  $\mathcal{T}$  on a set  $X$  is called metrizable if it is induced by some metric, i.e.  $\mathcal{T} = \mathcal{T}_d$  for some metric  $d$ .

Def. A top. space is called:

(a) 1<sup>st</sup> cbl if every point admits a cbl neighbourhood basis.

(b) 2<sup>nd</sup> cbl if it has a cbl basis.

(c) separable if it has a cbl dense subset, where a subset  $D$  of a top. space  $X$  is called dense if

it intersects every non-empty open set.

Point (ii) above shows:

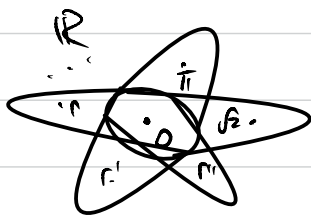
Obs. Metric spaces are 1<sup>st</sup> ctbl.

Recall that:

Obs. 2<sup>nd</sup> ctbl spaces are separable.

The converse is not true in general:

Counterexample.  $X := \mathbb{R}$  but with the following topology:



The basis of this top is the set  $\{0\}$  and sets of the form  $\{0, r\}$  for each  $r \in \mathbb{R} \setminus \{0\}$ .

Then  $\{0\}$  is dense, so  $X$  is separable,

but it's not 2<sup>nd</sup> ctbl because each set  $\{0, r\}$  would need to be in every basis. Note that this space is actually 1<sup>st</sup> ctbl.

However, recall:

Prop. In metric spaces, 2<sup>nd</sup> ctbl  $\Leftrightarrow$  separable.

Proof.  $\Leftarrow$ . If  $D \subseteq X$  is ctbl dense, then  $\{B_{\frac{1}{n}}(x) : x \in D, n \in \mathbb{N}^+\}$  is a ctbl basis.  $\square$

Relative topology. Let  $(X, \mathcal{T})$  be a top space and  $Y \subseteq X$ .



The relative top  $\mathcal{T}_Y$  on  $Y$  is

$$\mathcal{T}_Y := \{U \cap Y : U \in \mathcal{T}\}.$$

Note that

a relatively open set in  $Y$  may not be open in  $X$ . Some concepts descend from  $X$  to  $Y$ ,

for example: 1<sup>st</sup> and 2<sup>nd</sup> countability, but not separability: indeed, in the flower example above, the relative top on  $\mathbb{R} \setminus \{0\}$  is the discrete topology, so  $\mathbb{R} \setminus \{0\}$  is the only dense set and it's untbl. However, in metric spaces, separability is hereditary because it's the same as 2<sup>nd</sup> countability. Also metrizability itself is hereditary.

Criterion for basis. For a set  $X$ , a collection  $\mathcal{B} \subseteq \mathcal{P}(X)$  is a basis

for the topology it generates  $\Leftrightarrow \mathcal{B}$  covers  $X$  (i.e.  $X = \cup \mathcal{B}$ )

and  $\forall U, V \in \mathcal{B}$  and  $x \in U \cap V$ ,  $\exists W \in \mathcal{B}$   
s.t.  $x \in W \subseteq U \cap V$ .

Proof. HW

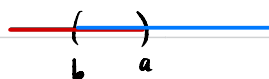
Examples of (pre) bases. (a) For  $\mathbb{R}$  with the usual topology, the

following form a basis:

- (i) open intervals
- (ii) open intervals with rational endpoints
- (iii) for any dense  $D \subseteq \mathbb{R}$ , open intervals with endpoints in  $D$ .

In particular,  $\mathbb{R}$  is 2<sup>nd</sup> ctd.

The open half lines  $(-\infty, a), (b, \infty)$  form a prebasis.

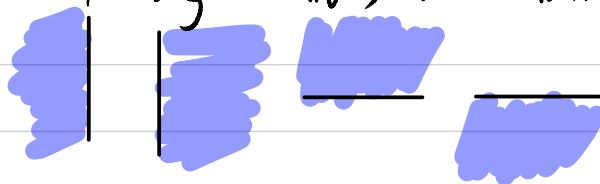


(b) In  $\mathbb{R}^2$  with the usual (Euclidean topology), the following form a basis:

- (i) open balls
- (ii) open balls with centers in  $\mathbb{Q}^2$  and radii  $\frac{1}{n}$ ,  $n \in \mathbb{N}^+$ .
- (iii) open rectangles:  $I \times J$ , for open intervals  $I, J \subseteq \mathbb{R}$ .
- (iv) open squares

In particular  $\mathbb{R}^2$  (also  $\mathbb{R}^d$  for any  $d \in \mathbb{N}^+$ ) is 2<sup>nd</sup> ctd.

The orthogonal open half planes form a prebasis.



(c) In  $\mathbb{R}^d$ , balls, also <sup>open</sup> rectangles  $I_1 \times I_2 \times \dots \times I_d$  form a basis.



(d) In  $A^{\mathbb{N}}$ , for any set  $A$ , the cylinders  $[w]$ , where  $w \in A^{<\mathbb{N}}$ , form a basis of clopen sets. Top-spaces that admit a basis of clopen sets are called **zero-dimensional**.

Also, for  $i \in \mathbb{N}$ ,  $a \in A$ , the sets

$$[i \mapsto a] := \{x \in A^{\mathbb{N}} : x(i) = a\}$$

form a prebasis. (Indeed, the cylinders  $[w]$  are finite intersections  $[0 \mapsto w_0] \cap [1 \mapsto w_1] \cap \dots \cap [n-1 \mapsto w_{n-1}]$ , for any  $w \in A^{\mathbb{N}}$ .)

(e) In  $\mathbb{Q}$  with the relative top of  $\mathbb{R}$ , of course the open intervals with rational endpoints form a basis, but also, the sets  $[a, b] \cap \mathbb{Q}$ ,  $a, b \in \mathbb{R} \setminus \mathbb{Q}$ , are clopen (because  $[a, b] \cap \mathbb{Q} = (a, b) \cap \mathbb{Q}$ ) and form a basis. Thus,  $\mathbb{Q}$  is 0-dimensional.

Separation axioms. A top. space  $X$  is called:

$T_0$ :  $\overset{u}{\circlearrowleft} a \overset{v}{\circlearrowright} b$  or  $a \overset{u}{\circlearrowleft} b \overset{v}{\circlearrowright}$   $\forall$  distinct  $a, b \in X$ ,  $\exists$  open neighbourhood  $U$  of one that doesn't contain the other.

$T_1$  (points are closed):  $\overset{u}{\circlearrowleft} a \overset{v}{\circlearrowright} b$   $\forall$  distinct  $a, b \in X$   $\exists$  open  $U \ni a$  but not  $b$ .

Obs.  $T_1 \Leftrightarrow$  every singleton  $\{a\}$  is closed. HV

Counterexample. This space  $\textcircled{\square \cdot}$  is  $T_0$  but not  $T_1$ .  
Also, the flower example above is  $T_0$  but not  $T_1$ .

$T_2$  (Hausdorff):  $\textcircled{a} \textcircled{b}$  for any distinct  $a, b \in X$ ,  $\exists$  disjoint open  $U \ni a$  and  $V \ni b$ .

$T_3$  (regular):  $T_1 + \textcircled{a} \textcircled{B}$  it's  $T_1$  and for any pt  $a \in X$  and closed  $B \subseteq X$  not containing  $a$ ,  $\exists$  disjoint open  $U \ni a$  and  $V \ni B$ .

$T_4$  (normal):  $T_1 + \textcircled{A} \textcircled{B}$  it's  $T_1$  and for any disjoint closed sets  $A, B \subseteq X$ , there are disjoint open sets  $U \ni A$  and  $V \ni B$ .

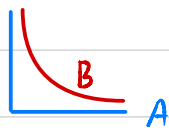
It's clear that metric spaces are Hausdorff, but in fact:

Prop. Metric spaces are normal.

Proof. Let  $(X, d)$  be a metric space and  $A, B \subseteq X$  be disjoint

closed sets. We don't want to look at  $d(A, B) = \inf_{\substack{a \in A \\ b \in B}} d(a, b)$   
 because that might be 0:

Take  $U := \bigcup_{a \in A} B_{r_a}(a)$ , where



$r_a := \frac{1}{2} d(a, B)$  and  $V := \bigcup_{b \in B} B_{r'_b}(b)$ ,  $r'_b := \frac{1}{2} d(b, A)$ .  $\square$

Lemma. In a normal top space  $X$ , for open sets  $U, V$ ,  
 if  $U \subseteq_c V$  (i.e.  $\overline{U} \subseteq V$ ) then  $\exists$  open  $W$  s.t.  
 $U \subseteq_c W \subseteq_c V$  (i.e.  $\overline{U} \subseteq W$  and  $\overline{W} \subseteq V$ ).

Proof. HW

Example of  $T_1$  but not  $T_2$ . The cofinite top on an infinite set  $X$   
 is  $T_1$  (each point is closed) but not  $T_2$ : indeed, any  
 two nonempty open sets intersect. Same is true for  
 Zariski top. on  $\mathbb{F}^n$ , for any infinite field  $\mathbb{F}$ .

Furstenberg's topology on  $\mathbb{Z}$  (profinite topology). We let  $T_F$  be  
 the top on  $\mathbb{Z}$  generated by the arithmetic progressions,  
 more precisely sets of the form  $a + b \cdot \mathbb{Z}$ ,  $a, b \in \mathbb{Z}, b \neq 0$ ,  
 $a + b \cdot \mathbb{Z} := \{a + b \cdot z : z \in \mathbb{Z}\}$ .

These are exactly the subgroups of  $(\mathbb{Z}, +)$  and their cosets.

Claim 1. These sets form a basis.

Proof. optional HW

Claim 2. This topology is invariant under translation and  $-$ ,  
i.e. if  $U$  is open, then  $t+U$  and  $-U$  are open  $\forall t \in \mathbb{Z}$ .

Proof. This holds for the sets  $a+b\mathbb{Z}$ , which generate the topology.  $\square$

Claim 3. This space is Hausdorff.

Proof. Let  $a, b \in \mathbb{Z}$  be distinct. The top. is invariant under translation, we may assume WLOG that  $a=0$ .

Let  $d := b+1$ , then  $0 \in d\mathbb{Z}$  disjoint from  $b+d\mathbb{Z}$ .  $\square$

Claim 4. Furstenberg topology is metrizable.

Proof. Take for example  $d(a, b) := \|a-b\|_2$ , where

$$\text{for } c \in \mathbb{Z}, \|c\|_2 := \sum_{\substack{n|c \\ n \geq 1}} \frac{1}{2^n}. \quad \text{optional HW}$$

Claim 5. This is a 0-dim space since the sets  $a+b\mathbb{Z}$  are clopen.

Proof. Indeed, the complement of  $a+b\mathbb{Z}$  is a union of sets  $a'+b\mathbb{Z}$  where  $a' \neq a \pmod{|b|}$ , i.e. the remainders of  $a'$  and  $a$  are different when divided by  $|b|$ . Thus, the sets  $a+b\mathbb{Z}$  are clopen and they form a basis by Claim 1. □

Note that  $\mathbb{Z} \setminus \{\pm 1\} = \bigcup_{p \text{ prime}} p \cdot \mathbb{Z}$  hence every  $a \in \mathbb{Z} \setminus \{\pm 1\}$  is divisible by a prime.

Cor.  $\exists$  infinitely many primes.

Proof (Furstenberg). Suppose otherwise that  $\exists$  only finitely many primes  $p_1, p_2, \dots, p_n$ . Then  $\mathbb{Z} \setminus \{\pm 1\} = \bigcup_{i=1}^n p_i \mathbb{Z}$  is clopen,  $\Rightarrow$

the set  $\{\pm 1\}$  is open, but each nonempty open set in this topology is infinite (contains a set of the form  $a+b\mathbb{Z}$ ), by Claim 1. ☺